

## Recapitulation:

### Ehrenfeucht - Fraïssé Theorem

Duplicator wins  $G_k((S_V, \vec{S}^?), (S_U, \vec{T}^?))$  iff  $(S_V, \vec{S}^?) \equiv_{k,n} (S_U, \vec{T}^?)$ .  
Duplicator can respond to choices of spoiler  
cannot be distinguished by FOQS-formulas of  $qd \leq k$ .

### Application:

$(aa)^*$  is not FOQS-definable  
 $\Rightarrow$  This reasons over all FOQS-formulas.

### Proof:

If  $(aa)^* = L(\varphi)$  for some  $\varphi$ ,

then  $\varphi$  has  $qd(\varphi) = k$  for some  $k \in \mathbb{N}$ .

Choose words large enough to win game:

$G_k(a^{2^k}, a^{2^k+1})$  won by duplicator.

Thus, by Ehrenfeucht - Fraïssé Theorem

$a^{2^k}$  and  $a^{2^k+1}$  cannot be distinguished by formula of  $qd \leq k$ .

Thus, cannot be distinguished by  $\varphi$ .  $\square$

How to prove the existence of such a winning strategy?

### Lemma:

Duplicator wins  $G_k(a^{2^k}, a^{2^k+1})$ .

### Proof:

Establish stronger result:

For all  $k$ , duplicator wins  $G_k(a^i, a^j)$  with  $i, j \geq 2^{k+1}$ .

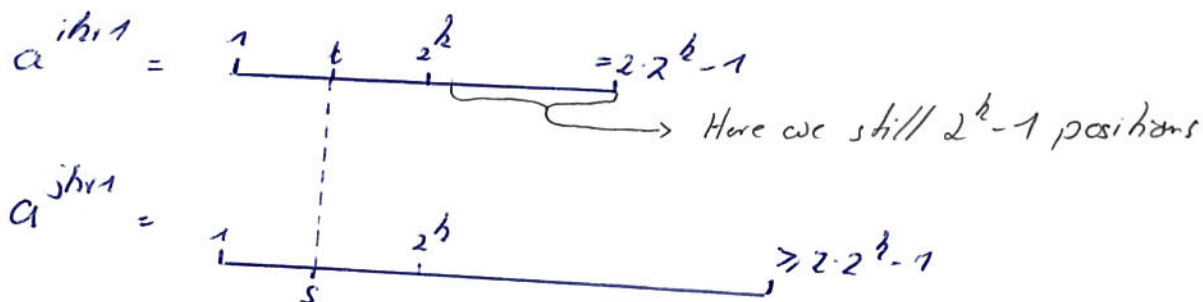
Proceed by induction on  $k$ :

II: Duplicator wins  $G_1(a, a^n)$  with  $n \geq 1$ .  
 $k=1$

IS: Assume duplicator has a winning strategy for  $G_k(a^{i_k}, a^{j_k})$  with  $i_k, j_k \geq 2^k - 1$ . Consider

$$G_{k+1}(a^{i_{k+1}}, a^{j_{k+1}}) \text{ with } i_{k+1} = 2^{(k+1)} - 1 = 2 \cdot 2^k - 1 \\ j_{k+1} \geq 2^{(k+1)} - 1$$

Then



Case 1:

Spiles picks  $s \leq 2^k$  in  $a^{j_{k+1}}$ .

Let duplicator pick  $t = s \leq 2^k$  in  $a^{i_{k+1}}$ .

Then

$G_k(a^{s-1}, a^{s-1})$  it won by duplicator as the words coincide.

Moreover,

$G_k(a^{i_{k+1}-s}, a^{j_{k+1}-s})$  won by duplicator by the induction hypothesis.

To see this,

$$i_{k+1} - s = 2 \cdot 2^k - 1 - s \geq 2 \cdot 2^k - 1 - 2^k = 2^k - 1. \\ (\text{Def. } i_{k+1}) \quad (\text{Def. } s)$$

Case 2:

Spiles picks  $s > 2^k$ . Similar.

Proof (of Ehrenfeucht-Fraïssé Theorem):

" $\Rightarrow$ " By induction on  $k \in \mathbb{N}$ .

IFT: Duplicator wins 0 rounds iff  $\vec{s} \rightarrow \vec{t}$  is partial isomorphism. This means for every atomic formula

$$e = P_a(x) \text{ and } e = x < y$$

we have

$$S_v, \mathcal{I}[\vec{s}/\vec{x}] \models \varphi \text{ iff } S_w, \mathcal{I}[\vec{t}/\vec{x}] \models \varphi.$$

By induction on structure of formulas,

this carries over to arbitrary quantifier-free formulas.

IS: Assume a win of duplicator on  $G_k((S_v, \vec{s}), (S_w, \vec{t}))$  entails  $(S_v, \vec{s}) \equiv_{k, n^k} (S_w, \vec{t})$ .

Consider win of duplicator on  $G_{kn}((S_v, \vec{s}), (S_w, \vec{t}))$ . Show that

$$S_v, \mathcal{I}[\vec{s}/\vec{x}] \models \varphi \text{ iff } S_w, \mathcal{I}[\vec{t}/\vec{x}] \models \varphi$$

where  $\varphi$  is of  $qd(\varphi) = kn-1$ .

Case  $\exists x: 4$  (where  $qd(4) = k$ ):

If  $S_v, \mathcal{I}[\vec{s}/\vec{x}] \models \exists x: 4$ , then there is  $s \in D_v$  so that

$$S_v, \mathcal{I}[\vec{s}, s/\vec{x}, x] \models 4.$$

Let spoiler start with  $s$ .

By assumption that duplicator wins  $G_{kn}((S_v, \vec{s}), (S_w, \vec{t}))$ , can reply by position  $t \in D_w$ .

The resulting game

$$G_k((S_v, s, \vec{s}), (S_w, t, \vec{t}))$$

is won by duplicator.

By the induction hypothesis  $(\vec{s} = s, \vec{t} = t, \vec{t}) \equiv_{k, n^k} (S_w, t, \vec{t})$ ,

$$(S_v, s, \vec{s}) \equiv_{k, n^k} (S_w, t, \vec{t}).$$

As  $4$  has  $qd(4) = k$ , we conclude

$$S_w, \mathcal{I}[\vec{t}/\vec{x}, x] \models 4.$$

This means, there is  $t \in D_w$ :

$$S_w, \mathcal{I}[\vec{t}/\vec{x}] \models \exists x: 4.$$

Remaining cases again by induction on structure of formulas of  $qd = kn-1$ .



$\Leftarrow$  Let  $(S_v, \vec{s}) \equiv_{k,n} (S_w, \vec{r})$ .

Construct a formula  $\mathcal{C}_{v,\vec{s}}^k$  of qd  $k$  that characterizes win of  $G_k((S_v, \vec{s}), -)$  from duplicator's point of view:

Let  $\vec{x} = (x_1, \dots, x_n)$

( $\Delta$ ) iff Duplicator wins  $G_k((S_v, \vec{s}), (S_w, \vec{r}))$

$$S_v, \mathbb{I}[\vec{r}/\vec{x}] \models \mathcal{C}_{v,\vec{s}}^k,$$

for arbitrary  $(S_w, \vec{r})$ .

Assume we constructed this formula (see below how it works).

Then duplicator wins

$$G_k((S_v, \vec{s}), (S_v, \vec{s}))$$

by copying spoiler moves.

By construction of  $\mathcal{C}_{v,\vec{s}}^k$  ( $\Delta$ ), this means

$$S_v, \mathbb{I}[\vec{s}/\vec{x}] \models \mathcal{C}_{v,\vec{s}}^k.$$

We assume that  $(S_v, \vec{r}) \equiv_{k,n} (S_w, \vec{r})$ .

By definition of  $k$ -equivalence and the fact that

$$\text{qd}(\mathcal{C}_{v,\vec{s}}^k) = k,$$

we get

$$S_w, \mathbb{I}[\vec{r}/\vec{x}] \models \mathcal{C}_{v,\vec{s}}^k.$$

Again with equivalence ( $\Delta$ ) for  $\mathcal{C}_{v,\vec{s}}^k$  we get

$$G_k((S_v, \vec{s}), (S_w, \vec{r}))$$

as required.

Key tool in construction of  $\mathcal{C}_{v,\vec{s}}^k$ :

Lemma on winning situations for duplicator.

Construction of  $\mathcal{L}_{v, \vec{s}}^k$  by induction on  $k$ :

IT1:

$h=0$

$$\mathcal{L}_{v, \vec{s}}^0 := \bigwedge_{s_i \in \vec{s}} P_a(x_i) \quad \wedge \quad \bigwedge_{\substack{s_i, s_j \in \vec{s} \\ s_i < s_j}} x_i < x_j$$

IS: Assume we already constructed  $\mathcal{L}_{v, \vec{s}}^k$  of  $qd = k$  for  $G_k((S_v, \vec{s}), -)$ .

Then for  $G_{k+1}((S_v, \vec{s}), -)$  we set

$$\mathcal{L}_{v, \vec{s}}^{k+1} := \underbrace{\bigwedge_{s \in D_v} \exists x: \mathcal{L}_{v, s, \vec{s}}^k(x, \vec{s})}_{(a)} \quad \wedge \quad \underbrace{\forall x: \bigvee_{s \in D_u} \mathcal{L}_{v, s, \vec{s}}^k(x, \vec{s})}_{(b)}$$

By winning lemma, this yields a winning strategy for duplicator

(a) If spoiler selects from  $v$ , then there is a position  $x$  in  $u$  with which duplicator can reply (2a in lemma)

(b) Whatever spoiler chooses from  $u$ , there is a position  $s$  in  $v$  with which duplicator can respond (2b in lemma)

Quantifier depth is  $k+1$ .

Note:

- Selects in  $v$  by Boolean connectives
- Selects in  $u$  by quantification.

### 3.2 Closure properties

- Find "subclass" of regular languages that characterises FO[ $\exists$ ]-definable languages
- Algebraic characterisation (as opposed to logical)
  - ↳ Focus on closure properties.

Definition (Star-free languages):

Let  $\Sigma$  an alphabet. The class of star-free languages (over  $\Sigma$ ), denoted by  $SF_{\Sigma}$ , is the smallest class so that

- (1)  $\emptyset \in SF_{\Sigma}$ ,  $S \in SF_{\Sigma}$ ,  $S \cup T \in SF_{\Sigma}$  f.a.  $a \in \Sigma$ .
- (2) If  $L_1, L_2 \in SF_{\Sigma}$  then  $L_1 \cdot L_2, L_1 \cup L_2, \overline{L_1} \in SF_{\Sigma}$ .

Example:

Star-free does not mean that every description of the language avoids Kleene-star:

(a)  $\Sigma^*$  is star-free by  $\Sigma^* = \overline{\emptyset}$ .

(b) If  $L_1, L_2$  are star-free, so are  $L_1 \cap L_2 = \overline{\overline{L_1} \cup \overline{L_2}}$   
and  $L_1 \setminus L_2 = L_1 \cap \overline{L_2}$

(c) Let  $D \in \Sigma$ . Then  $D^*$  is star-free by

$$D^* = \Sigma^* \setminus (\Sigma^* \cdot \underbrace{(\Sigma \setminus D)} \cdot \Sigma^*)$$

(d) language  $(a \cdot b)^*$  is star-free as There is a non-D letter.

$$(a \cdot b)^* = \Sigma^* \setminus \underbrace{b \cdot \Sigma^*}_{\text{Remove words starting with } b} \setminus \underbrace{\Sigma^* \cdot aa \cdot \Sigma^*}_{\text{Remove words with consecutive } a} \setminus \Sigma^* \cdot bb \cdot \Sigma^* \setminus \Sigma^* \cdot a$$

Goal: Show that star-free languages are precisely the FO[REG]-definable languages.

